




ATTORNEY DOCKET No.: 2003946-0056 (ANDI/CIP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chiba, *et al.* Examiner: NYA
Serial No.: 10/657,910 Art Unit: 1614
Filing Date: September 9, 2003
Title: MACROCYCLIC COMPOUNDS USEFUL AS
PHARMACEUTICALS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing	
I certify that this correspondence is being deposited with the United State Postal Service with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
May 12, 2004 Date	 Signature
Kathy Hart Gagnon Typed or Printed Name of person signing certificate	

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant respectfully requests correction of the following errors in the Filing Receipt mailed by the U.S. Patent and Trademark Office (USPTO) on December 1, 2003 (copy attached) for the above-referenced case:

1. Please correct the Filing Receipt to indicate that the instant application is a Continuation-in-Part and claims the benefit of co-pending International Application No. PCT/US03/07377, filed March 7, 2003, which claims priority to U.S. Provisional Patent Application Nos.: 60/362,883, filed March 8, 2002, and 60/380,711, filed May 14, 2002.

2. On the Filing Receipt only inventors Kenichi CHIBA of Tsuchiura-shi, Japan; Hong DU of North Andover, Massachusetts; Yoshihito EGUCHI of Kashiwa-shi, Japan; Masanori FUJITA of Ushiku-shi, Japan; Masaki GOTO of Tsuchiura-shi, Japan; Fabian GUSOVSKY of Andover, Massachusetts; Jean-Christophe HARMANGE of Andover, Massachusetts; Atsushi INOUE of Tsukuba-shi, Japan; Megumi KAWADA of Ushiku-shi, Japan; Takatoshi KAWAI of Tsukuba-shi, Japan; Yoshiyuki KAWAKAMI of Tsukuba-shi, Japan; Akifumi KIMURA of Tsukuba-shi, Japan; Makoto KOTAKE of Abiko-shi, Japan; Yoshikazu KUBOI of Tsukuba-shi, Japan; Tomohiro MATSUSHIMA of Ushiku-shi, Japan;

Yoshiharu MIZUI of Tsukuba-shi, Japan; Kenzo MURAMOTO of Tsukuba-shi, Japan; Hideki SAKURAI of Tsukuba-shi, Japan; Yong-Chun SHEN of Tewksbury, Massachusetts; Hiroshi SHIROTA of Belmont, Massachusetts; Mark SPYVEE of Hampstead, New Hampshire; Isao TANAKA of Tsukuba-shi, Japan; John (Yuan) WANG of Andover, Massachusetts; Ray WOOD of Raleigh, North Carolina; Satoshi YAMAMOTO of Moriya-shi, Japan; and Naoki YONEDA of Tsukuba-shi, Japan should be listed as co-inventors. All other inventors should be deleted from the Filing Receipt.

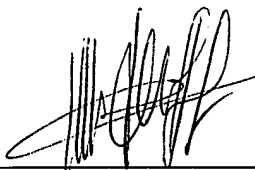
3. The Filing Receipt lists Inventor Harmange as having a residence in "Andover, MA, JAPAN." Kindly remove "JAPAN" so that his residence correctly reads "Andover, MA";

4. The Filing Receipt lists Inventor Wood as having a residence in "Raley, NC." Kindly correct the Receipt to show his residence as "Raleigh, NC".

Applicant respectfully requests that a corrected filing receipt be issued as soon as possible.

Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 03-1721.

Respectfully submitted,
CHOATE, HALL & STEWART



Nadège M. Lagneau, Ph.D.
Reg. No. 51,908

Date: May 12, 2004

PATENT DEPARTMENT
CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
Telephone: 617-248-5000
Facsimile: 617-248-4000

U.S.S.N. 10/657,910
3697523_1.DOC

COPY BHJINMCINT

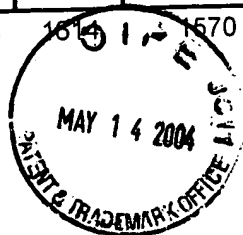


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/657,910	09/09/2003	1614	1570	2003946-0056		45	2

24280
 Choate, Hall & Stewart
 Exchange Place
 53 State Street
 Boston, MA 02109



CONFIRMATION NO. 5169

FILING RECEIPT



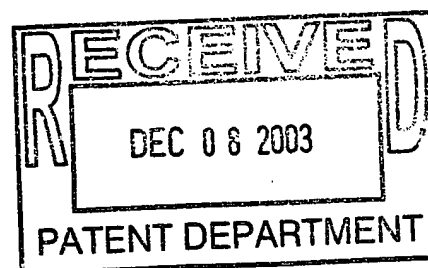
OC000000011382340

Date Mailed: 12/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- ~~Reeh Boivin, Lawrence, MA;~~
- ✓Kenichi Chiba, Tsuchiura-shi, JAPAN;
- ~~Jesse Chow, Hooksett, NH;~~
- ✓Hong Du, North Andover, MA;
- ✓Yoshihito Eguchi, Kashiwa-shi, JAPAN;
- ✓Masanori Fujita, Ushiku-shi, JAPAN;
- ✓Masaki Goto, Tsuchiura-shi, JAPAN;
- ✓Fabian Gusovsky, Andover, MA;
- ~~Jean-Christophe Harmange, Andover, MA, JAPAN;~~
- ✓Atsushi Inoue, Tsukuba-shi, JAPAN;
- ~~Yimin Jiang, Londonderry, NH;~~
- ✓Megumi Kawada, Ushiku-shi, JAPAN;
- ✓Takatoshi Kawai, Tsukuba-shi, JAPAN;
- ✓Yoshiyuki Kawakami, Tsukuba-shi, JAPAN;
- ✓Akifumi Kimura, Tsukuba-shi, JAPAN;
- ✓Makoto Kotake, Abiko-shi, JAPAN;
- ✓Yoshikazu Kuboi, Tsukuba-shi, JAPAN;
- ~~Charles Andre Lemelin, North Chelmsford, MA;~~
- ~~Xiang-Yi Li, Andover, MA;~~
- ✓Tomohiro Matsushima, Ushiku-shi, JAPAN;
- ~~Yoshiharu Mizui, Tsukuba-shi, JAPAN;~~
- ✓Kenzo Muramoto, Tsukuba-shi, JAPAN;
- ✓Hideki Sakurai, Tsukuba-shi, JAPAN;
- ✓Yong-Chun Shen, Tewksbury, MA;
- ✓Hiroshi Shirota, Belmont, MA;
- ✓Mark Spyvee, Hampstead, NH;
- ✓Isao Tanaka, Tsukuba-shi, JAPAN;



- ✓ John (Yuan) Wang, Andover, MA;
- ✓ Ray Wood, ~~Raley, NC~~; **Raleigh, NC**
- ✓ Satoshi Yamamoto, Moriya-shi, JAPAN;
- ✓ Naoki Yoneda, Tsukuba-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

- ✓ UNITED STATES OF AMERICA PCT/US03/07377 03/07/2003

If Required, Foreign Filing License Granted: 11/29/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

- ✓ Macrocyclic compounds useful as pharmaceuticals

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).